

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENTFORM A
For use by Members, officers, and employees

Page 1 of 14

MAY 17 PM 2:22

Shelley Moore Capito

(Full Name)

202-225-2711

(Daytime Telephone)

Filer Status
☒ Member of the U.S. House of RepresentativesState: WV
District: 02☐ Officer Or Employee
Employing Office:

Report Type

☒ Annual (May 15)☐ Amendment☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

HAND DELIVERED
(Office Use Only)

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$235 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Shelley Moore Capito

Page 2 of 14

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Citigroup Global Markets, Inc.	Spouse Salary	N/A
Morgan Stanley SB	Spouse Salary	N/A
United Bank Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

Page 3 of 14

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
N/A	401(k) Blackrock Temp Fund	\$50,001 - \$100,000	DIVIDENDS	N/A	PS(part)
SP	401(k) Citigroup Stock Fund	\$15,001 - \$50,000	None	NONE	P
SP	401(k) Emerging Market Equity	\$50,001 - \$100,000	DIVIDENDS	N/A	S(part)
SP	401(k) MSCI EAFE Index	\$1,001 - \$15,000	DIVIDENDS	N/A	
SP	401(k) Private Capital All Cap - see footnote	None	DIVIDENDS	N/A	S
SP	401(k) Russell 3000 Fund (see note re name change)	\$100,001 - \$250,000	DIVIDENDS	N/A	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Caprio

Page 4 of 14

SP	401(k) S&P 500 Index Fund	\$100,001 - \$250,000	DIVIDENDS	N/A	S(part)
SP	401(k) Wellington Large Cap Growth	\$100,001 - \$250,000	DIVIDENDS	N/A	
SP	Alliance Petroleum	\$1,001 - \$15,000	ROYALTIES	\$201 - \$1,000	
SP	BB&T Corp	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	PS
	BB&T Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Bristol Meyers Squibb Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	BT Group plc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Chesapeake Energy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Cisco Systems	\$1,001 - \$15,000	None	NONE	
SP	Cisco Systems	\$1,001 - \$15,000	None	NONE	
SP	Citigroup call options	None	None	NONE	Expired
SP	Citigroup Capital Partners I, L.P. - see footnote re name	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	Citigroup Capital Partners II	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$201 - \$1,000	
SP	Citigroup Employee Fund of Funds, L.P.	\$50,001 - \$100,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

Page 5 of 14

	Citigroup Inc.	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Citigroup Inc.	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	PS(part)
SP	Citigroup Venture Capital International Growth Partnership II	\$1,001 - \$15,000	None	NONE	
SP	Coca-Cola Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Duke Energy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Edgewood Country Club	\$1,001 - \$15,000	N/A	NONE	
SP	Exxon Corp	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	General Electric Co.	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
JT	Huntington Bancshares Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Huntington Bancshares Inc.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	IBM Corp.	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Intel Corp	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	IRA-Angen Inc.	\$1,001 - \$15,000	None	NONE	
SP	IRA-Coca-Cola Co.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SCHEDULE III - ASSETS AND "UNEARNED" INCOME					Name Shelley Moore Capito	Page 6 of 14
SP	IRA-Covidian, Ltd.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	S(part)	
SP	IRA-JPMorgan Chase	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	S(part)	
	IRA-Legg Mason Partners Large Cap Value Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
	IRA-Middleburg Fin. Corp.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200		
SP	IRA-Morgan Stanley	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P	
SP	IRA-Pfizer Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
SP	IRA-Procter & Gamble	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	S(part)	
SP	IRA-Smith Barney Money Fund Retirement Portfolio	\$100,001 - \$250,000	DIVIDENDS	\$1 - \$200		
SP	IRA-Time Warner	\$1 - \$1,000	DIVIDENDS	\$1 - \$200		
SP	IRA-Tyco Electronics	\$1 - \$1,000	DIVIDENDS	\$1 - \$200		
SP	IRA-Tyco Intl.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200		
SP	IRA-United Bank	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P	
SP	IRA-United Parcel Service Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
SP	JPMorgan Chase	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

		Name	Shelley Moore Capito			Page 7 of 14
SP	Merck	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
SP	Microsoft	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
	Middleburg Fin. Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
SP	Norfolk Southern Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
SP	Procter & Gamble	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000		S(part)
SP	R-H Capital Partners, L.P.	\$1 - \$1,000	INTEREST	\$201 - \$1,000		
JT	Real estate, Lexington, VA	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000		
SP	Royal Dutch Petroleum Co.	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500		
	Smith Barney Money Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
SP	Smith Barney Money Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
JT	Smith Barney Money Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200		
	Spectra Energy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	St. Paul Travelers	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
SP	Time Warner	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SCHEDULE III - ASSETS AND "UNEARNED" INCOME		Name	Shelley Moore Capito	Page 8 of 14	
SP	Time Warner Cable	\$1,001 - \$15,000	None	NONE	Spin-off
SP	TRV Employee Fund, L.P.	\$1 - \$1,000	DIVIDENDS/INTE REST	\$1 - \$200	
SP	United Bank	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	Verizon Communications Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Vista Resources	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
	Walt Disney Co.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	WV Public Employees Retirement System	\$1,001 - \$15,000	None	N/A	

SCHEDULE IV - TRANSACTIONS

Name Shelley Moore Caprio

Page 9 of 14

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	401(k) BlackRock Temp Fund	P	N/A	12-22-09	\$15,001 - \$50,000
SP	401(k) Blackrock Temp Fund	S(part)	N/A	04-15-09	\$1,001 - \$15,000
SP	401(k) Citigroup Stock Fund	P	N/A	04-15-09	\$1,001 - \$15,000
SP	401(k) Citigroup Stock Fund	P	N/A	12-22-09	\$1,001 - \$15,000
SP	401(k) Emerging Market Equity	S(part)	N/A	12-22-09	\$1,001 - \$15,000
SP	401(k) Russell 3000 Fund	S(part)	N/A	12-22-09	\$15,001 - \$50,000
SP	401(k) S&P 500 Index Fund	S(part)	N/A	12-22-09	\$1,001 - \$15,000
SP	BB&T Corp	S	Yes	05-15-09	\$1,001 - \$15,000
SP	BB&T Corp	P	N/A	02-20-09	\$1,001 - \$15,000
SP	Citigroup	S(part)	No	02-19-09	\$1,001 - \$15,000
SP	Citigroup	P	N/A	03-26-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Shelley Moore Caprio

Page 10 of 14

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Citigroup	S(part)	No	02-20-09	\$1,001 - \$15,000
SP	IRA-Coviden	S(part)	No	12-04-09	\$1,001 - \$15,000
SP	IRA-JPMorgan Chase	S(part)	Yes	12-04-09	\$1,001 - \$15,000
SP	IRA-Morgan Stanley	P	N/A	06-29-09	\$1,001 - \$15,000
SP	IRA-Procter & Gamble	S(part)	Yes	12-04-09	\$1,001 - \$15,000
SP	IRA-United Bank	P	N/A	10-13-09 & 12-01-09	\$1,001 - \$15,000
SP	JPMorgan Chase	S	Yes	12-22-09	\$1,001 - \$15,000
SP	Procter & Gamble	S(part)	Yes	12-04-99	\$1,001 - \$15,000
SP	United Bank	P	N/A	02-20-09	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Shelley Moore Caprio

Page 11 of 14

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Smith Barney	Margin Loan	\$100,001 - \$250,000
JT	CitiMortgage	Mortgage on Lexington, VA property	\$100,001 - \$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Shelley Moore Capito

Page 12 of 14

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Duke University	Oct. 11-13	DC-Durham, NC-DC	Y	Y	N	None
American Israel Education Foundation	Aug. 1-8	DC-Tel Aviv, Israel-DC	Y	Y	Y	None
US Assoc. of Former Members of Congress - German Marshall Fund of the U.S. - Heinrich Boell Foundation	May 26-31	DC-Frankfurt, Germany-DC	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Shelley Moore Capito

Page 13 of 14

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
National Advisory Board Member	University of Charleston

FOOTNOTES

Name Shelley Moore Capito

Page 14 of 14

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Liquidation - 401(k) Clearbridge Multi Cap Growth Fund was liquidated. Balance was transferred to Russell 3000 Fund (this is a pooled fund not under discretion of beneficiary)	Clearbridge MultiCap Growth Fnd, Russell 3000 Fnd
2	Schedule III	Name change - SSB Capital Partners is now Citigroup Capital Partners	Citigroup Capital Partners
3	Schedule III	2008 sale was shown as total but should have been partial; another partial sale occurred in 2009	Procter & Gamble
4	Schedule III	401(k) account assets are pooled. Details of realized gains/losses, earnings, and unrealized gains/losses are not provided to account holders.	all 401(k) accounts
5	Schedule IV	Several intrafund transfers were made in 401(k) account, but gains/losses were not provided. (No new funds deposited)	401(k) accounts
6	Schedule III	401(k) Private Capital All Cap was renamed Russell 3000 Fund	Private Capital All Cap & Russell 3000 Fund